

Attorney's Docket No.: 04037-011002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Wolf

Art Unit : 1714

Serial No.: 10/706,687

Examiner: Unknown

Filed

: November 12, 2003

Title

: MONODISPERSE PREPARATIONS USEFUL WITH IMPLANTED DEVICES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL

The following correspondence relating to this application is enclosed for filing:

- 1. Information Disclosure Statement (1 page);
- 2. Form PTO-1449 (7 pages);
- 3. Copy of Cited Reference (1 reference); and
- 4. A Return Postcard.

Please date stamp and return the enclosed postcard. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Dorothy P. Whelan Reg. No. 33,814

Fish & Richardson P.C., P.A. 60 South Sixth Street, Suite 3300 Minneapolis, MN 55402 (612) 335-5070 telephone (612) 288-9696 facsimile

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INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/439,545, filed on November 12, 1999. All of the references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application with the exception of reference nos. AN, ASS, ATT, and ABBBBB, copies of which are being provided. Since this application was filed after July 1, 2003, only copies of non-U.S. patent references are being provided.

This statement is being filed before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

	,	Respectfully submitted,
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-Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 04037-011002

Application No. 10/706,687

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nformation Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant David E. Wolf

Filing Date

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Group Art Unit 1714

(37 CFR §1.98(b

Evaminor	Doole	Dotont	U.S. Pate	ent Documents	1		Elling Det
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,041,932	08/16/77	Fostick			11
	AB	4,071,020	01/31/78	Pugliese			
	AC	4,330,299	05/18/82	Cerami			
	AD	4,344,438	08/17/82	Schultz			
	AE	4,352,883	10/05/82	Lim			
	AF	4,353,888	10/12/82	Sefton			
	AG	4,391,909	07/05/83	Lim			
_	AH	4,401,122	08/30/83	Clark, Jr.			
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	, AJ	4,532,123	07/30/85	Gardner			
	AK	4,663,286	05/05/87	Tsang et al.			
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	AQ	5,001,051	03/19/91	Miller et al.			
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	AS	5,084,350	01/28/92	Chang et al.			
	AT	5,101,814	04/07/92	Palti			
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	AW	5,227,298	07/13/93	Weber et al.			
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	AZ	5,324,518	06/28/94	Orth et al.			
	AAA	5,326,531	07/05/94	Hahn et al.			

Examiner Signature	Date Considered

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(Modified)

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David E. Wolf

Filing Date

Applicant

Group Art Unit 1714

(37 CFR §1.48(b))

November 12, 2003

U.S. Patent Documents Examiner Desig. Patent Filing Date Initial ID Number **Issue Date** Patentee Class Subclass If Appropriate **ABB** 5,330,768 07/19/94 Park et al. ACC 5,341,805 08/30/94 Stavridi et al. 08/30/94 **ADD** 5,342,789 Chick et al. **AEE** 5,427,935 06/27/95 Wang et al. **AFF** 5,459,054 10/17/95 Skjak-Braek et al. AGG 5,460,971 10/24/95 Gottlieb **AHH** 5,512,544 04/30/96 Wallach et al. AII 5,543,158 08/06/96 Gref et al. AJJ 5,545,423 08/13/96 Soon-Shiong et al. **AKK** 5,578,325 11/26/96 Domb et al. ALL 5,626,862 05/06/97 Brem et al. **AMM** 5,651,980 07/29/97 Lanza et al. **ANN** 5,679,340 10/21/97 Chappel AOO 5,869,077 02/09/99 Dionne et al. APP 5,871,767 02/16/99 Dionne et al. **AQQ** 5,972,363 10/26/99 Clikeman et al. ARR 5,955,108 09/21/99 Sutton et al. ASS 6,040,194 03/21/00 Chick et al. ATT 6,126,936 10/03/00 Lanza et al.

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Desig.		Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AUU	0 127 713	11/02/89	EPO				
	AVV	0 127 989	12/12/84	EPO				
	AWW	0 180 309 B2	12/17/97	EPO				
	AXX	0 188 309 B1	05/23/90	EPO				
	AYY	0 301 777 A1	02/01/89	EPO				-

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	closure Statement oplicant	Applicant David E. Wolf		
(Use several si	neets if necessary)	Filing Date November 12, 2003	Group Art Unit	

	Foreig	n Patent Doc	uments or P	ublished Foreign I	Patent A	Application	าร	
Examiner Initial	Desig.	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No
	AZZ	0 433 900 B1	09/20/95	EPO EPO	0.000	Cubolado	103	110
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	ADDD	1 236 885	06/23/71	GB				
	AEEE	1 257 178	12/15/71	GB				
	AFFF	2 119 734 A	11/23/83	GB				
	AGGG	WO 83/03061	09/15/83	PCT				
	АННН	WO 90/10861	09/20/90	PCT				
	AIII	WO 92/19195	11/12/92	PCT		-		
	AJJJ	WO 93/24112	12/09/93	PCT				
	AKKK	WO 94/12161	06/09/94	PCT				
	ALLL	WO 94/15589	07/21/94	PCT				
	AMMM	WO 95/19743	07/27/95	PCT				*
	ANNN	WO 95/31206	11/23/95	PCT				
	A000	WO 96/28029	09/19/96	PCT				
	APPP	WO 99/29306	06/17/99	PCT				

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	AQQQ	Abbas et al., "Cytokines," Cell. Mol. Immunol., W.B. Saunders Co., 2 nd Edition, 1994, Chapter 12, pp. 240-260			
	ARRR	Bruce and Heinrich, "Production and Characterization of Biologically Active Recombinant Human Nerve Growth Factor," Neurobiology of Aging, 1989, 10:89-94			
	ASSS	Bruni et al., "Hepatocytes Immobilised by Microencapsulation in Artificial Cells: Effects on Hyperbilirubinemia in Gunn Rats," Biomat., Art. Cells, Art. Org., 1989, 17(4):403-411			
	ATTT	Cai et al., "Development and Evaluation of a System of Microencapsulation of Primary Rat Hepatocytes," Hepatology, 1989, 10(5):855-860			
	AUUU	Cardullo et al., "Detection of nucleic acid hybridization by nonradiative fluorescence resonance energy transfer," Proc. Natl. Acad. Sci. USA, 1988, 85(23):8790-8794			
_	AVVV	Cardullo et al., "Synthesis, Purification, and Characterization of 2,4,6-Trinitrophenyl-UDP-galactose: A Fluorescent Substrate for Galactosyltransferase," Anal. Biochem., 1990, 188:305-309			

Examiner Signature	Date Considered
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Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney's Docket No. 04037-011002	Application No. 10/706,687	
by Ap	closure Statement oplicant	Applicant David E. Wolf		
(Use several sheets if necessary)		Filing Date	Group Art Unit	
(37 CFR §1.98(b))		November 12, 2003	1714	

		ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	AWWW	Cerra, "Hypermetabolism, organ failure, and metabolic support," Surgery, 1987, 101(1):1-14
	AXXX	Chang et al., "Semipermeable Aqueous Microcapsules. I. Preparation and Properties," <u>Can. J. Physiol. Pharmacol.</u> , 1966, 44:115-128
	AYYY	Chang, "Artificial Cells: The Use of Hybrid Systems," Artificial Organs, 1980, 4(4):264-271
	AZZZ	Chang, "Artificial Cells in Immobilization Biotechnology," <u>Biomat., Art. Cells & Immob. Biotech.</u> , 1992, 20(5):1121-1143
	AAAAA	Crosslinking: An Overview," Blomat., Art. Cells & Immob. Blotech., 1992, 20(2-4):159-179
	ABBBB	Press, Chapter 8, pp. 183-196
	ACCCC	Chang, "Bioencapsulation in Biotechnology," <u>Biomat., Art. Cells & Immob. Biotech.</u> , 1993, 21(3):291-297
	ADDDD	Chien et al., "The two-hybrid system: A method to identify and clone genes for proteins that interact with a protein of interest," Proc. Natl. Acad. Sci. USA, 1991, 88:9578-9582
	AEEEE	Dahinden et al., "Regulation of Cytokine Expression by Human Blood Basophils," <u>Int. Arch.</u> Allergy Immunol., 1997, 113:134-137
	AFFFF	de Oca et al., "21-Aminosteroid & 74389G (Lazaroid) Inhibits Bacterial Translocation After Small Bowel Transplantation in Rats," <u>Transplantation Proceedings</u> , 1997, 29(3):1803
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	АННН	Essayan et al., "Regulation of Interleukin-13 by Type 4 Cyclic Nucleotide Phosphodiesterase (PDE) Inhibitors in Allergen-Specific Human T Lymphocyte Clones," <u>Biochemical Pharmacology</u> , 1997, 53(7):1055-1060
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	AJJJJ	Förster, "Experimentelle und theoretische Untersuchung des zwischenmolekularen Übergangs von Elektronenanregungsenergie," Zeitschrift für Naturforschung, 1949, 4a:321-327
	AKKKK	Förster, "Transfer Mechanisms of Electronic Excitation," <u>Discussions of the Faraday Society</u> , 1959, 27:7-17
	ALLLL	Goodman et al., "Long Pentraxins: an Emerging Group of Proteins with Diverse Functions," Cytokine & Growth Factor Reviews, 1996, 7(2):191-202
	AMMMM	Goosen et al., "Immobilization of Living Cells in Biocompatible Semipermeable Microcapsules: Biomedical and Potential Biochemical Engineering Applications," Polymers in Medicine II: Biomedical and Pharmaceutical Applications, 1985, Chiellini et al., (eds.), Plenum Press, pp. 235-246
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	A0000	Grasbon-Frodl et al., "Mesencephalic neuron death induced by congeners of nitrogen monoxide is prevented by the lazaroid U-83836E," Exp. Brain Res., 1997, 113:138-143
	APPPP	Haugland, <u>Handbook of Fluorescent Probes and Research Chemicals</u> , 1989, Molecular Probes, Inc., Eugene, OR (TOC only)

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	Other Documents (include Author, Title, Date, and Place of Publication)		
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Initial	ID	Document	
	AQQQQ	Heller and Morrison, "Chemiluminescent and Fluorescent Probes for DNA Hybridization Systems," Rapid Detection and Identification of Infectious Agents, 1985, Academic Press, pp. 245-256	
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	ASSSS	Ishizaki et al., "Comparison of Various Lazaroid Compounds for Protection Against Ischemic Liver Injury," Transplantation Proceedings, 1997, 29(1/2):1333-1334	
	ATTTT	Ito et al., "In vitro Study of Multicellular Hepatocyte Spheroids Formed in Microcapsules," <u>Artif.</u> Organs, 1992, 16(4):422-427	
	AUUUU	Joyce et al., "Two inhibitors of pro-inflammatory cytokine release, interleukin-10 and interleukin-4, have contrasting effects on release of soluble p75 tumor necrosis factor receptor by cultured monocytes," Eur. J. Immunol., 1994, 24(11):2699-2705	
	AVVVV	Kirk-Othmer, Encyclopedia of Chemical Technology, Fourth Edition, John Wiley & Sons, New York, Vol. 4, pp. 208-220	
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	AXXXX	Kucharzik et al., "Synergistic Effect of Immunoregulatory Cytokines on Peripheral Blood Monocytes from Patients with Inflammatory Bowel Disease," <u>Digestive Diseases and Sciences</u> , 1997, 42(4):805-812	
	AYYYY	Lakowicz, "Fluorescence Lifetime Sensing Generates Cellular Images," <u>Laser Focus World</u> , 1992, 28(5):60-62, 66-80	
	AZZZZ	Lanza et al., "Xenotransplantation of canine, bovine, and porcine islets in diabetic rats without immunosuppression," Proc. Natl. Acad. Sci. USA, 1991, 88(24):11100-11104	
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	ABBBBB	Leung et al., "Microencapsulation of Crystalline Insulin or Islets of Langerhans: An Insulin Diffusion Study," Artificial Organs, 1983, 7(2):208-212	
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	AFFFFF	Nelson and Feldman, "Diabetes Mellitus in Dogs & Cats," <u>Diabetes Spectrum</u> , 1992, 5(6):324-325, 370-371	
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	AJJJJJ	Roberts et al., "Natural Killer (NK) Activity in Neonatal Cells: Role of Interleukin (IL)-12 and IL-15," J. Invest. Med., 1997, 45(3):235A	

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Other Documents (include Author, Title, Date, and Place of Publication)		
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Initial	ID	Document
	AKKKKK	Rosenberg et al., "Gene Transfer Into Humans – Immunotherapy of Patients with Advanced Melanoma, Using Tumor-Infiltrating Lymphocytes Modified by Retroviral Gene Transduction," New Eng. J. Med., 1990, 323(9):570-578
	ALLLLL	Schultz et al., "Affinity Sensor: A New Technique for Developing Implantable Sensors for Glucose and Other Metabolites," <u>Diabetes Care</u> , 1982, 5(3):245-253
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	APPPPP	Stryer and Haugland, "Energy Transfer: A Spectroscopic Ruler," <u>Proc. Natl. Acad. Sci. USA</u> , 1967, 58:719-726
	AQQQQQ	Inerapeutic Drug Carrier Systems, 1987, 4(1):1-12
ARRER Tanaka et al., "Lazaroid U-74500A For Warm Ischemia and Reperfusion Injury of the Can		Tanaka et al. "I azaroid II 74500 A For Warm Jacksmin and Bonorfysian Injury of the Coming Small
	ASSSSS	Torri et al., "Synaptosomal Iron-Dependent Lipid Peroxidation Inhibition After Subarachnoid Hemorrhage by Lazaroid In Vivo Treatment," Molecular and Chem. Neuropathol, 1997, 30(1/2):15-24
	ATTTTT	Villa and Gorini, "Pharmacology of Lazaroids and Brain Energy Metabolism: A review," Pharmacological Reviews, 1997, 49(1):99-136
	AUUUUL	Warnagle and Daiotta "Critical Mass of Durified Islate That Indian Name of Durified Islate That Indian Name of Durified Islate That Indian Name of Durified Islate The Islate
	AVVVV	Welling et al., "Systemic Delivery of the Interleuekin-1 Receptor Antagonist Protein Using a New Strategy of Direct Adenoviral-Mediated Gene Transfer to Skeletal Muscle Capillary Endothelium in the Isolated Rat Hindlimb," <u>Human Gene Therapy</u> , 1996, 7(15):1795-1802
	wwww	Wesch et al., "Comparative analysis of $\alpha\beta$ and $\gamma\delta$ T cell activation by Mycobacterium tuberculosis and isopentenyl pyrophosphate," <u>Eur. J. Immunol.</u> , 1997, 27(4):952-956
	AXXXXX	Widner et al., "Transplanted Allogeneic Fetal Dopamine Neurons Survive and Improve Motor Function in Idiopathic Parkinson's Disease," <u>Transplantation Proceedings</u> , 1991, 23:793-795
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,	AZZZZZ	Wilson, Biotechnology, 1983, 1:3-4
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	ABBBBBI	Wong and Chang, "The Microencapsulation of Cells Within Alginate Poly-L-Lysine Microcapsules Prepared with the Standard Single Step Drop Technique: Histologically Identified Membrane Imperfections and the Associated Graft Rejection," <u>Biomat., Art. Cells & Immob. Biotech.</u> , 1991, 19(4):675-686
	ACCCCC	Wong and Chang, "A Novel Two Step Procedure For Immobilizing Living Cells in Microcapsules for Improving Xenograft Survival," <u>Biomat., Art. Cells & Immob. Biotech.</u> , 1991, 19(4):687-697

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Other Documents (include Author, Title, Date, and Place of Publication)		
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	ADDDDDD	Young et al., "Inverted Microcarriers. Using Microencapsulation to Grow Anchorage-Dependent Cells in Suspension," <u>BioPharm.</u> , 1989, 2:34-46
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Examiner Signature Date Considered .